


## Key Establishment Protocols

Prof. Bart Preneel  
COSIC – KU Leuven - Belgium  
Firstname.Lastname(at)esat.kuleuven.be  
<http://homes.esat.kuleuven.be/~preneel>  
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## Goals

- Understand properties of protocols for key establishment and entity authentication
- Understand flaws in protocols
- Analyze new protocols

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## Key management

- generation
- registration/certification
- **establishment (this chapter)**
- installation
- usage
- storage/archiving
- escrow
- destruction/revocation

most expensive and most complex aspect of practical cryptography

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## Outline

- definitions & properties
- key transport with symmetric cryptography
- key transport with asymmetric cryptography
- key agreement with asymmetric cryptography
- analysis of protocols

Based on chapter 12 of Handbook of Applied Cryptography


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## Definitions

- A (cryptographic) **protocol** is a multi-party algorithm, defined by a sequence of steps precisely specifying the actions required of two or more parties in order to achieve a specified objective.
- **Key establishment** is a process or protocol whereby a shared secret becomes available to two or more parties.
  - key transport
  - key agreement
  - static (always same key): pre-distribution
  - dynamic
  - with or without a trusted third party

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## Use of session keys

- Session keys are (typically temporary) keys, that are distributed with a key establishment protocol (ephemeral secret).
- Motivation:
  - limit available ciphertext for 1 key
  - limit exposure in the event of a key compromise
  - avoid long-term storage of a large number of distinct keys (in a network with many nodes)
  - create independence across communication sessions or applications

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## Definitions: authentication

*Important!*

- **entity authentication:** one is corroborated of the identity of another party, and of the fact that this party is alive (active) during the protocol
- **data origin authentication:** one is corroborated of the source of data
- **(implicit) key authentication:** one party is assured that no other party aside from a specifically identified second party has the possibility to determine the secret key
- **key confirmation:** one party is assured that a second (possibly unidentified) party has possession of a particular secret key
- **explicit key authentication:** one is convinced that another identified party possesses a given secret key (= implicit key authentication + key confirmation)

note: a connection-less view of the world!! (vs. connection-oriented)

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## Classification of simple protocols

- (entity) authentication (or identification)
- key establishment
- authenticated key establishment is a key establishment protocol that offers (implicit) key authentication.

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## Timestamps and nonces

### time stamp

- detect repetition (within a given time window)
- detect forced delay
- limit privileges in time
- approach: information of the local clock is cryptographically protected and sent to the other parties.
  - notation:  $t_x$

**nonce** = value that is used only once (no more than once).

- approach: nonce is sent to the other party; this value is then cryptographically integrated into the answer
- two types:
  - serial number  $n_x$
  - random number  $r_x$

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## Protocol properties

1. which authentication (entity, key confirmation, key authentication)
2. unilateral or mutual authentication
3. guaranteed 'freshness' of the key
4. key control
5. efficiency: number of messages, number of bytes transmitted, computations
6. conditions for third party (on-line, off-line)
7. type of certificates
8. proof of key exchange (non-repudiation)

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## The opponent (1)

### Assumptions:

- the cryptographic algorithms (encryption, signature, MAC) are considered to be unbreakable
- (encryption = envelope, also providing data origin authentication!?)

### Capabilities

- active or passive network access
- outsider or insider (permanent/temporary)
- goals
  - obtain session key
  - impersonation
  - mislead parties about the parties they are communicating with

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## The opponent (2)

### special problems

- leakage of long term key material compromises previous session keys (lack of historical secrecy or no (perfect) **forward secrecy**)
- leakage of a session key compromises future session keys or allows for future impersonation (vulnerable to **known key attack**)



These definitions are confused very often

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### Key transport based on symmetric cryptography

- point to point: key transport with encryption or with a MAC
- with third party (server): Kerberos
- encryption (block cipher)
- MAC (Message Authentication Code)
- (perfect) forward secrecy hard – need to update the key with a one-way function after every transaction

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### Point to point key derivation with a MAC

Alice  $\xrightarrow{r_A}$  Bob

- session key =  $MAC_K(r_A)$
- implicit key authentication
- no protection against reuse

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### Point to point key derivation with a MAC

Alice  $\xrightarrow{r_A}$  Bob  $\xleftarrow{r_B}$  Alice

- session key =  $MAC_K(r_A || r_B)$
- implicit key authentication
- protection against reuse

*|| denotes concatenation of strings*

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### Point to point key derivation with a block cipher and time stamp

Alice  $\xrightarrow{E_K(r_A || t_A || B^*)}$  Bob

- session key =  $r_A$
- $t_A$  detects delay or repetition within a window
- B prevents reuse on A

*the \* in B\* means that this field is optional*

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### Point to point key derivation with a MAC: AKEP2

Alice  $\xrightarrow{r_A}$  Bob  $\xleftarrow{B || A || r_A || r_B || MAC_K(B || A || r_A || r_B)}$  Alice  $\xrightarrow{A || r_B || MAC_K(A || r_B)}$  Bob

- session key =  $prf_K(r_B)$ . Here  $K' \neq K$ , but  $K'$  may be derived from  $K$
- mutual authentication with implicit key authentication
- key confirmation possibly by using the session key to encrypt a known message
- variant with key transport

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### Using a third party

- Trusted Third Party (TTP) assists with key establishment; can also assist with entity/data origin authentication
- symmetric:
  - Key Distribution Center (KDC): generates and distributes session key
  - Key Translation Center (KTC): translates session key
- asymmetric:
  - Certification Authority (CA)

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### Symmetric key distribution with 3rd party (KDC Key Distribution Center) - Kerberos

- Alice/Bob shares a long term secret with KDC:  $K_{AT}/K_{BT}$
- Alice/Bob/KDC have synchronized clocks
- $ticket_B = E_{K_{BT}}(k || A || L)$
- L life time of a ticket – limits validity of a key

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### Kerberos/Single Sign On (SSO)

- Alice's long term key  $K_{AT}$  is derived from a password  $P$
- Alice stores  $E_{K_{AT}}(k || n_A || L || B)$  on her disk for the period L (1 day)
- To avoid one password entry per application: use intermediate server (ticket granting server)

AS: authentication server  
TGS: ticket granting server

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### Kerberos/Single Sign On (SSO)

- Kerberos (MIT, project Athena 1987)
  - RFC 1510 (1993) replaced by RFC 4120 (2005)
  - included from Windows 2000 onwards as default entity authentication method (extensions defined in RFC 3244 "Microsoft Windows 2000 Kerberos Change Password and SetPassword Protocols.")
  - included in MAC OS X
- alternatives (no market success): Kryptoknight (IBM) and Sesame (Siemens/Bull/ICL)
- limitations of Kerberos:
  - still uses passwords: guessing attacks
  - requires modification to application; no authorisation
  - in pre-2005 versions: no authenticated encryption (separate operations)

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### Key transport based on asymmetric cryptography

- without digital signatures
  - time stamp
  - nonce: Needham-Schroeder
- with digital signature
  - time stamp: 3 variants
- point to point, but protecting the authenticity of public keys
- requires CA (Certification Authority) in large systems

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### No digital signature; with time stamp

- only implicit key authentication
- 1-pass, suited for e-mail
- $t_A$  prevents replay

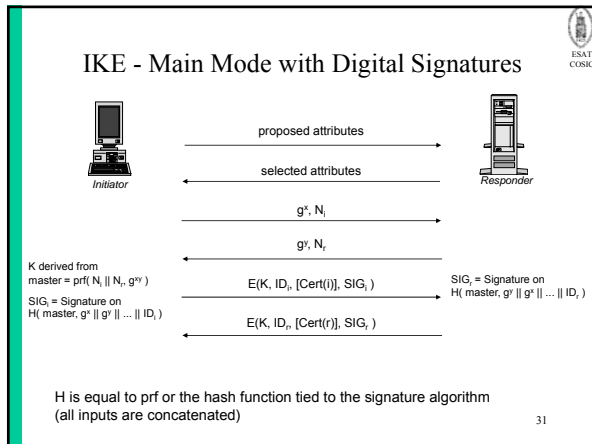
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### No digital signature; with time stamp (2) Needham-Schroeder

- session key =  $\text{hash}(k_1 || k_2)$

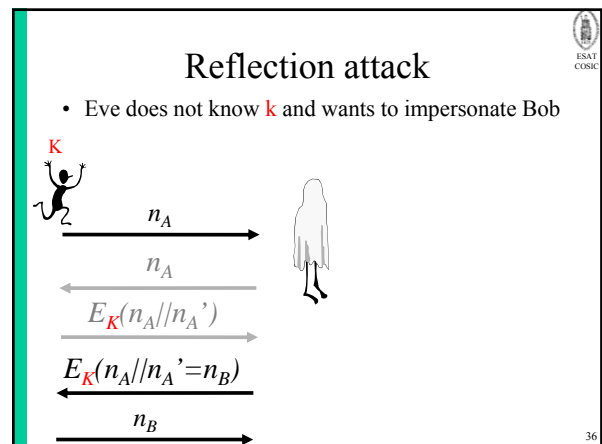
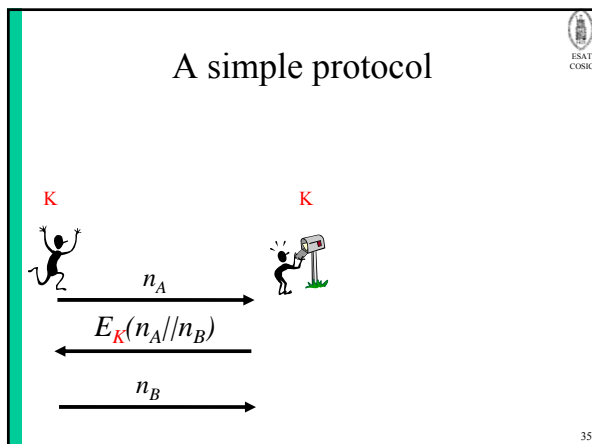
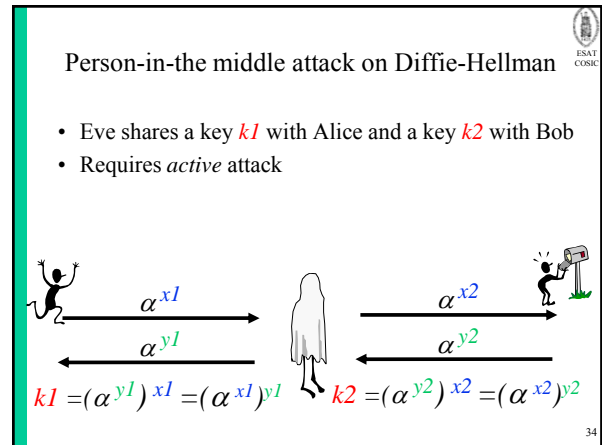
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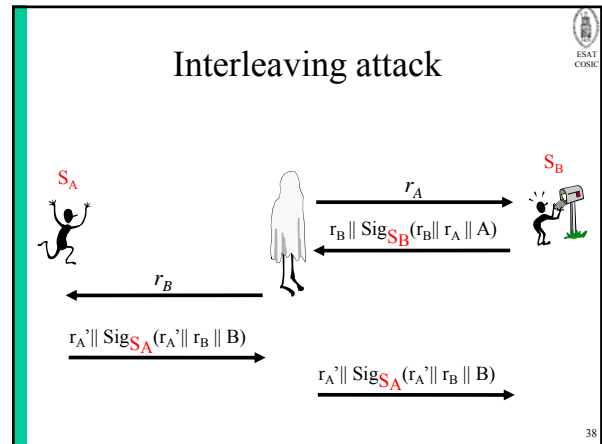
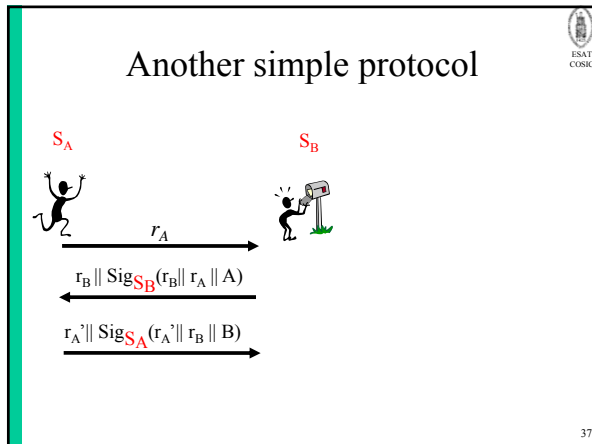




- ### STS properties
- mutual explicit key authentication
  - mutual entity authentication
  - mutual key confirmation
  - anonymity (unless certificates are exchanged in the beginning)
  - (perfect) forward secrecy
  - no problem if  $k$  leaks

- ### Protocol analysis
- in order to analyze a protocol, or in order to prove its security, one needs the following information:
    - protocol specification (messages AND actions)
    - goals
    - assumptions and initial state
  - 1. Ad hoc: study attack strategies
    - person-in-the-middle
    - reflection attack
    - 'interleaving' attack
  - 2. Information-theoretic
  - 3. Complexity theoretic: universal composability
  - 4. Formal methods, logics,...





- ### Conclusions
- Properties of protocols are subtle
  - Many standardized protocols exist
    - ISO/IEC, IETF
  - Difficulty: which properties are needed for a specific application
  - Rule #1 of protocol design: **Don't**
    - not even by simplifying existing protocols
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